

FORM PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.
P27102

Application No.
10/736,702

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)

Applicant
Thomas Grafenauer

COPY

Filing Date
December 17, 2003

Group
3637

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/CTC/	5 7 9 7 1 7 5	8/25/98	Schneider			
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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/CTC/	Webster Dictionary, page 862.
/CTC/	Opposition II EPO. 698. 162 - Facts - Arguments Evidence (11 pages) - translation

EXAMINER /Christine T. Cajilig/ DATE CONSIDERED 07/18/2007

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	5 2 0 5 0 9 1	4/27/93	Brown			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/CTC/	U.S. Court of Appeals for the Federal Circuit, 02-1222-1291 Alloc, Inc. vs. International Trade Commission, pp. 1-32.
/CTC/	U.S. Court of Appeals for the Federal Circuit Decision in Alloc, Inc. et al. vs. International Trade Commission and Pergs, Inc. et al. decided September 10, 2003.

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/CTC/	6 6 7 2 0 3 0	1/6/04	Schulte			
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	3 7 8 0 5 4 8	9/25/73	Sauer et al.			
	3 7 2 0 0 2 7	3/13/73	Christensen			
	3 6 0 8 2 5 8	9/28/71	V.E. Spratt			
	2 3 2 8 0 5 1	8/31/43	A.S. Bull			
	2 2 2 0 6 0 6	11/5/40	Malarkey et al.			
	2 0 6 5 5 2 5	12/29/36	Hamilton			
	2 0 2 3 0 6 6	12/3/35	Curtis et al.			
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/CTC/	6 1 1 9 4 2 3	9/19/00	Costantino			
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	4 7 6 9 9 6 3	9/13/88	Meyerson			
	5 2 9 5 3 4 1	3/22/94	Kajiwara			
	4 8 1 9 9 3 2	4/11/89	Trotter, Jr.			
	4 4 2 6 8 2 0	1/24/84	Terbrack et al.			
	3 5 3 8 6 6 5	11/10/701	Gohner			
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	5 3 4 9 7 9 6	9/27/94	Meyerson			
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FOREIGN PATENT DOCUMENTS

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P27102

Application No.
10738700

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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↓	2004/0092006	5/13/04	Lindekens et al.			
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↓	3 7 1 4 7 4 7	2/6/73	Curran			
↓	3 2 6 7 6 3 0	8/23/66	Omholt			
↓	3 5 5 5 7 6 2	1/19/71	Costanzo, Jr.			
↓	3 5 5 3 9 1 9	1/12/71	Omholt			
↓	3 4 8 1 8 1 0	12/2/69	Waite			
↓	3 4 6 0 3 0 4	8/12/69	Braeuninger et al.			
↓	3 9 0 8 0 5 3	9/23/75	Hettich			
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↓	3 9 3 6 5 5 1	2/3/76	Elmendorf et al.			
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FOREIGN PATENT DOCUMENTS

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/CTC/	7 - 7 6 9 2 3	3/20/95	JP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P27102	Application No. 10/736,702 <div style="font-size: 2em; font-weight: bold; text-align: center;">COPY</div>
		Applicant Thomas Grafenauer	Filing Date December 17, 2003
		Group 3637	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	3 6 9 4 9 8 3	10/3/72	Couquet			
	7 5 3 7 9 1	3/1/1904	Fulghum			
	5 7 0 6 6 2 1	1/13/98	Pervan			
	5 2 1 6 8 6 1	6/8/93	Meyerson			
	5 3 4 9 7 9 6	9/27/94	Meyerson			
	3 8 5 9 0 0 0	1/7/75	Webster			
	5 2 9 5 3 4 1	3/22/94	Kajiwara			
	4 7 8 9 9 6 3	9/13/88	Meyerson			
	3 5 3 8 6 6 5	11/10/70	Gohner			
	3 3 1 0 9 1 9	3/28/67	Bue et al.			
	2 7 4 0 1 6 7	4/3/56	Rowley			
	2 2 7 6 0 7 1	3/10/42	Scull			
	2001/0029720	10/18/01	Pervan			
	2 3 9 8 6 3 2	4/16/46	Frost et al.			
	3 7 6 8 8 4 6	10/30/73	Hensley et al.			
	4 7 0 3 5 9 7	11/3/87	Eggemar			
	5 9 4 3 2 3 9	8/24/99	Shamblin et al.			
5 9 3 5 6 6 8	8/10/99	Smith				
5 8 2 3 2 4 0	10/20/98	Bolyard et al.				
/CTC/	5 9 8 7 8 3 9	11/23/99	Hamar et al.			

FOREIGN PATENT DOCUMENTS

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	5 0 6 2 5 4	11/24/97	SE				
	4 2 4 2 5 3 0	6/23/94	DE				
/CTC/	94 1 2 8 9 9 9	11/24/94	WO				
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER /Christine T. Cajilig/	DATE CONSIDERED 07/18/2007
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		Applicant Thomas Grafenauer	Filing Date December 17, 2003
		Group 3637	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/CTC/	4 4 2 6 8 2 0	1/24/84	Terbrack et al.			
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	3 5 2 6 4 2 0	9/1/70	Brancaleone			
	6 2 0 9 2 7 8	4/3/01	Tychsen			
	6 4 1 8 6 8 3	7/16/02	Martensson et al.			
	6 5 9 1 5 8 8	7/15/03	Palsson			
	5 7 9 7 2 3 7	8/25/98	Finkell Jr.			
	1 7 7 6 1 8 8	9/16/30	Langb'aum			
	6 1 0 1 7 7 8	8/15/00	Martensson			
	6 3 4 5 4 8 1	2/12/02	Nelson			
	6 4 9 0 8 3 6	12/10/02	Moriau et al.			
	2002/0046528	4/25/02	Pervan et al.			
	6 7 7 2 5 8 8	8/10/04	Thiers et al.			
↓	2004/0105994	6/3/04	Lu et al.			
/CTC/	4 4 3 1 0 4 4	2/12/84	Bruneau			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
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↓	0 9 5 8 4 4 1	7/23/03	EP				
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	2 6 1 6 0 7 7	10/27/77	DE				
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		Applicant Thomas Grafenauer	Filing Date December 17, 2003
		Group 3637	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	5 8 2 7 5 9 2	10/27/98	Van Gulik et al.			
	5 5 7 0 5 5 4	11/5/96	Searer			
	5 1 6 5 8 1 6	11/24/92	Parasin			
	3 9 8 8 1 8 7	10/26/76	Witt et al.			
	7 1 4 9 8 7	12/2/1902	Wolfe			
	3 3 4 7 0 4 8	10/17/67	Brown et al.			
	1 8 2 3 0 3 9	9/15/31	Gruner			
	1 5 1 0 9 2 4	10/7/24	Daniels et al.			
	2 4 3 0 2 0 0	11/4/47	Wilson			
	6 2 0 9 2 7 8	4/3/01	Tychsen			
	6 7 1 1 8 6 9	3/30/04	Tychsen			
	6 5 6 9 2 7 2	5/27/03	Tychsen			
	6 5 2 1 3 1 4	2/18/03	Tychsen			
	2 4 3 0 2 0 0	11/4/47	Wilson			
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	1 6 6 0 4 8 0	2/28/28	Daniels			
1 6 4 4 7 1 0	10/11/27	Crooks				
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/ICTC/	7 9 2 8 7 0 3	5/21/81	DE			

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		Applicant Thomas Grafenauer	
		Filing Date December 17, 2003	Group 3637

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↓	1 7 6 4 3 3 1	6/17/30	Moratz			
↓	1 7 1 4 7 3 8	5/28/29	Smith			
↓	6 7 6 1 7 9 4	7/13/04	Mott et al.			
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↓	6 7 2 9 0 9 1	5/4/04	Martensson			
↓	6 7 2 3 4 3 8	4/20/04	Chang et al.			
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↓	6 6 8 5 9 9 3	2/3/04	Hansson et al.			
/ICTC/	6 6 8 1 8 2 0	1/27/04	Olofsson			

FOREIGN PATENT DOCUMENTS

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P27102	Application No. 10/736,702
		Applicant Thomas Grafenauer	COPY
		Filing Date December 17, 2003	

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	5 2 5 3 4 6 4	10/19/93	Nilsen			
	6 3 2 4 8 0 3	12/4/01	Pervan			
	5 8 6 0 2 6 7	1/19/99	Pervan			
	1 6 1 5 0 9 6	1/18/27	Meyers			
	1 6 2 2 1 0 4	3/22/27	Fulton			
	5 3 9 0 4 5 7	2/21/95	Sjölander			
	1 9 5 3 3 0 6	4/3/34	Moratz			
	6 1 0 1 7 7 8	8/15/00	Martensson			
	5 7 0 6 6 2 1	1/13/98	Pervan			
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↓	2004/0177584	9/16/04	Pervan			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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		Applicant Thomas Grafenauer	Filing Date December 17, 2003
		Group 3637	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	6 8 0 5 9 5 1	10/19/04	Kornfalt et al.			
	6 8 2 3 6 3 8	11/30/04	Stanchfield			
	2003/0033784	2/20/03	Pervan			
	6 8 4 1 0 2 3	1/11/05	Mott			
	6 7 6 3 6 4 3	7/20/04	Martensson			
	4 2 9 9 0 7 0	11/10/81	Oltmanns et al.			
	1 6 2 2 1 0 3	3/22/27	Fulton			
	1 7 3 4 8 2 6	11/5/29	Pick			
	1 7 8 7 0 2 7	12/30/30	Wasieff			
	1 9 2 9 8 7 1	10/10/33	Jones			
	2 8 9 4 2 9 2	7/14/59	Gramelspacher			
	6 1 3 4 8 5 4	10/24/00	Stanchfield			
	2001/0034992	11/1/01	Pletzer et al.			
	2002/0046528	4/25/02	Pervan et al.			
	2002/0020127	2/21/02	Thiers et al.			
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FOREIGN PATENT DOCUMENTS

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FORM PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P27102	Application No. 10/736,702
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant Thomas Grafenauer	COPY
		Filing Date December 17, 2003	Group 3637

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↓	6 2 1 6 4 0 9	4/17/01	Roy et al.			
↓	3 5 2 6 4 2 0	9/1/70	Brancaleone			
↓	4 1 6 9 6 8 8	10/2/79	Toshio			
↓	4 7 3 8 0 7 1	4/19/88	Ezard			
↓	5 1 7 9 8 1 2	1/19/93	Hill			
↓	5 6 3 0 3 0 4	5/20/97	Austin			
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↓	2 3 2 4 6 2 8	7/20/43	G. Kähr			
↓	2 0 4 4 2 1 6	6/16/36	Klages			
↓	3 1 0 0 5 5 6	4/13/63	De Ridder			
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↓	3 1 8 2 7 6 9	5/11/65	De Ridder			
↓	5 1 1 3 6 3 2	5/19/92	Hanson			
↓	5 4 7 4 8 3 1	12/12/95	Nystrom			
↓	5 4 3 3 8 0 6	7/18/95	Pasquali et al.			
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FOREIGN PATENT DOCUMENTS

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↓	2 2 4 3 3 8 1	10/30/91	GB			
/CTC/	9 9 1 3 7 3	6/22/76	CA			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P27102	Application No. 10/736,702
		Applicant Thomas Grafenauer	COPY
		Filing Date December 17, 2003	Group 3637

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/0100000	10/31/02	Laurence et al.			
	6 4 2 1 9 7 0	7/23/02	Martensson et al.			
	3 3 4 7 0 4 8	10/17/87	Brown et al.			
	1 9 5 3 3 0 6	4/3/34	Moratz			
	6 7 1 1 8 6 9	3/30/04	Tychsen			
	5 8 6 0 2 6 7	1/19/99	Pervan			
	5 7 6 8 8 5 0	6/23/98	Chen			
	5 3 4 9 7 9 6	9/27/94	Meyerson			
	5 0 2 9 4 2 5	7/9/91	Bogataj			
	4 6 4 1 4 6 9	2/10/87	Wood			
	4 1 6 9 6 8 8	10/2/79	Toshio			
	3 7 5 9 0 0 7	9/18/73	Thiele			
	3 7 3 1 4 4 5	5/8/73	Hoffmann et al.			
	3 0 4 5 2 9 4	7/24/62	Livezey Jr.			
	1 9 8 8 2 0 1	1/15/35	Hall			
	2 1 3 7 4 0	4/1/1879	A.J. Conner			
	5 2 9 5 3 4 1	3/22/94	Kajiwara			
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	4 8 1 9 9 3 2	4/11/89	Trotter Jr.			

FOREIGN PATENT DOCUMENTS

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	7- 1 8 0 3 3 3	18/7/95	JP			Abstract	
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	2 7 7 6 9 5 6	10/8/99	FR				
	2 1 5 2 0 6 3	7/31/85	GB				
	7 - 7 6 9 2 3	3/20/95	JP			X	
	4 5 0 1 4 1	9/6/87	SE				

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P27102	Application No. 10736,702
		Applicant Thomas Grafenauer	
		Filing Date December 17, 2003	* Group 3637

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U.S. PATENT DOCUMENTS

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↓	1 8 9 8 3 6 4	2/21/33	G.S. Gynn			
↓	6 7 8 6 0 1 9	9/7/04	Thiers			
↓	6 4 9 0 8 3 6	12/10/02	Moriau et al.			
↓	3 2 0 4 3 8 0	9/7/65	B.F.B. Smith et al.			
↓	6 3 6 3 6 7 7	4/2/02	Chen et al.			
↓	6 7 8 6 0 1 9	9/7/04	Thiers			
↓	6 0 0 6 4 8 6	12/28/99	Moriau et al.			
↓	2003/0205013	11/6/03	Garcia			
↓	2004/0200165	10/14/04	Garcia et al.			
↓	6 5 1 9 9 1 2	2/18/03	Eckmann et al.			
↓	5 9 8 5 3 9 7	11/16/99	Witt et al.			
↓	5 2 9 5 3 4 1	3/22/94	Kajiwara			
↓	6 4 4 9 9 1 3	9/17/02	Shelton			
↓	4 1 1 8 5 3 3	10/3/78	Hipchen et al.			
↓	2003/0167717	9/11/03	Garcia			
/CTC/	5 4 1 3 8 3 4	5/9/95	Hunter et al.			

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/CTC/	1 3 9 9 4 0 2	7/2/75	GB				
↓	6 - 5 6 3 1 0	5/8/94	JP				
↓	1 4 3 0 4 2 3	3/31/76	GB				
↓	2 1 6 8 0 4 5	16/05/02	ES				
↓	1 0 1 9 5 8 5	1/4/92	ES				
↓	20 2 0 6 4 6 0	14/8/02	DE				
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↓	2 2 5 6 0 2 3	11/25/92	GB				
/CTC/	3- 1 6 9 9 6 7	7/23/91	JP			x	

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/CTC/	5 3 3 5 4 7 3	8/9/94	Chase			
↓	5 1 3 6 8 2 3	8/11/92	Pellegrino			
↓	4 5 8 5 6 8 5	4/29/86	Forry et al.			
↓	4 2 9 0 2 4 8	9/22/81	Kemerer et al.			
↓	4 1 3 1 7 0 5	12/26/78	Kubinsky			
↓	3 8 7 8 0 3 0	4/15/75	Cook			
↓	6 2 2 4 6 9 8	5/1/01	Endo			
↓	6 4 2 1 9 7 0	7/23/02	Martensson et al.			
↓	6 2 4 7 2 8 5	6/19/01	Moebus			
↓	6 7 8 6 0 1 9	9/7/04	Thiers			
↓	2003/0159385	8/28/03	Thiers			
↓	6 4 9 0 8 3 6	12/10/02	Moriau et al.			
↓	6 5 1 0 6 6 5	1/28/03	Pervan			
↓	6 5 3 6 1 7 8	3/18/03	Pervan			
↓	6 5 3 6 1 7 8	3/25/03	Pålsson et al.			
↓	6 5 4 6 6 9 1	4/15/03	Peopolder			
↓	6 5 9 1 5 6 8	7/15/03	Pålson			
↓	6 7 7 2 5 6 8	8/10/04	Thiers et al.			
/CTC/	6 7 6 9 2 1 8	8/3/04	Pervan			

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/CTC/	3 3 4 3 6 0 1	6/13/85	DE				
↓	94 / 0 1 6 2 8	1/20/94	WO				
↓	93 / 1 3 2 8 0	7/8/93	WO				
↓	2 2 3 8 6 6 0	2/7/74	DE				
↓	57- 1 1 9 0 5 6	7/24/82	JP				
↓	97 / 4 7 8 3 4	12/18/97	WO				
↓	94 / 2 6 9 9 9	24/11/94	WO				
/CTC/	84 / 0 2 1 5 5	6/7/84	WO				

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